



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul DiCarlo et al.  
 Serial No. : 10/791,103  
 Filed : March 2, 2004  
 Title : EMBOLIC COMPOSITIONS

Art Unit : 1773  
 Examiner : Kiliman, Leszek B.

**MAIL STOP AMENDMENT**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the attached PTO-1449 form with copies of references if applicable.

Applicants also hereby notify the Examiner of the following commonly owned co-pending U.S. patent application:

U.S. Patent Application Serial No. 11/000,741, filed December 1, 2004.

Applicants are uncertain of the date of publication of the following references that are listed in the attached PTO-1449 form:

- (1) FeRx Incorporated, FERX Profile, <http://www.biotechshares.com/FERX.htm>, 4 pages (Ref. AEEEE)
- (2) Kochan, J.P. et al., "Interventional Neuroradiology: Current Practices and Techniques at Temple University Hospital", <http://www.temple.edu/radiology/stroke.html>, 5 pages (Ref. AOOOO)
- (3) Mather, P.T., Research Group Homepage, Basic Goals and Methods, <http://www.ims.uconn.edu/~mather>, 4 pages (Ref. AWWWW)
- (4) SIR-Spheres (Yttrium-90 Microspheres), pp. 1-12 (Ref. AOOOOO)
- (5) Wu, A.M., "Engineered Antibodies for Breast Cancer Imaging and Therapy" Available web site: <http://www.ucop.edu/srphome/bcrp/progressreport/abstracts/innov/21B-0084.html> (Ref. AEEEEEE)

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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January 27, 2005

Signature

Diane C. Brown

Typed or Printed Name of Person Signing Certificate

Applicant : Paul DiCarlo et al.  
Serial No. : 10/791,103  
Filed : March 2, 2004  
Page : 2 of 2

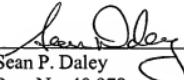
Attorney's Docket No.: 01194-823002 / 02-082US02

Therefore, while Applicants do not concede that these references qualify as prior art to this application, Applicants request that the Examiner treat them as prior art at this time for purposes of examination of this application.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/27/05

  
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Substitute Form PTO-1449 (Modified)		Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-823002	Application No. 10/791,103
<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use separate sheet if necessary)</small>		Applicant Paul DiCarlo et al.		
(37 CFR §1.98(b))		Filing Date March 2, 2004	Group Art Unit 1773	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/LK/	AA	4,034,759	07/12/77	Haerr			
/LK/	AB	4,094,848	06/13/78	Naito			
/LK/	AC	4,096,230	06/20/78	Haerr			
/LK/	AD	4,159,719	07/03/79	Haerr			
/LK/	AE	4,402,319	09/06/83	Handa et al.			
/LK/	AF	4,640,807	02/03/87	Afghan et al.			
/LK/	AG	4,674,480	06/23/87	Lemelson			
/LK/	AH	4,742,086	05/03/88	Masamizu et al.			
/LK/	AI	4,793,980	12/27/88	Torobin			
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/LK/	AU	6,048,908	04/11/00	Kitagawa			
/LK/	AV	6,056,721	05/02/00	Shulze			
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/LK/	AZ	6,296,622	10/02/01	Kurz et al.			
/LK/	AAA	6,296,632	10/02/01	Luscher et al.			

Examiner Signature	/LK/ /Leszek Kilman/	Date Considered 04/02/2008
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/LK/	ABB	6,306,419	10/23/01	Vachon et al.			
/LK/	ACC	6,312,407	11/06/01	Zadno-Azizi et al.			
/LK/	ADD	6,355,275	03/12/02	Klein			
/LK/	AEE	6,379,373	04/30/02	Sawhney et al.			
/LK/	AFF	6,388,043	05/14/02	Langer et al.			
/LK/	AGG	6,436,112	08/20/02	Wensel et al.			
/LK/	AHH	6,443,941	09/03/02	Slepian et al.			
/LK/	AII	6,476,069	11/05/02	Krall et al.			
/LK/	AJJ	2002/0197208	12/26/02	Ruys et al.			
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/LK/	ALL	6,544,503	04/08/03	Vanderhoff et al.			
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/LK/	AOO	2003/0108614	06/12/03	Volkonsky et al.			
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/LK/	AVV	6,652,883	11/25/03	Goupil et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/LK/	AWW	EP0123235	10/31/1984	Europe				X
/LK/	AXX	EP0123235	10/31/1984	Europe (Abstract)			X	
/LK/	AYY	EP0122624	10/24/1984	Europe				X

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/LK/	AZZ	EP0122624	10/24/1984	Europe (Abstract)			X
	AAAA	DE3834705	04/12/1990	Germany			X
	ABBB	DE3834705	04/12/1990	Germany (Abstract)			X
	ACCC	WO91/12823	05/09/1991	PCT			
	ADDD	EP0458745A1	05/14/1991	Europe			
	AEEE	EP0458079A2	11/27/1991	Europe			X
	AFFF	EP0458079A2	11/27/1991	Europe (Abstract)			X
	AGGG	WO 95/33553	12/14/1995	PCT			
	AHHH	EP0067459B2	10/07/1998	Europe			
	AIII	AU-A-76186/98	10/15/1998	Australia			
	AJJJ	WO 99/00187	01/07/1999	PCT			
	AKKK	WO03/051451	06/26/2003	PCT			
	ALLL	EP 0 764 047	08/27/2003	Europe			
	AMMM	EP 0 993 337	04/14/2004	Europe			
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/LK/	AOOO	Abbara, S. et al., "Transcervical Expulsion of a Fibroid as a Result of Uterine Artery Embolization for Leiomyomata", <i>JVIR</i> , Vol. 10, No. 4, pp. 409-411; 1999
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	AQQQ	Abrahams, J.M. et al., "Delivery of Human Vascular Endothelial Growth Factor with Platinum Coils Enhances Wall Thickening and Coil Impregnation in a Rat Aneurysm Model", <i>AJNR Am. J. Neuroradiol.</i> 22:1410-1417, August 2001
	ARRR	Ahuja, A.A., "Platinum Coil Coatings to Increase Thrombogenicity: A Preliminary Study in Rabbits", <i>AJNR Am. J. Neuroradiol.</i> 14:794-798; July/August 1993
	ASSS	Antibody Labeling <a href="http://www.sltcorp.com/AffinityLabeling/ablaclining.htm">http://www.sltcorp.com/AffinityLabeling/ablaclining.htm</a> , pp. 1-6, May 20, 2003
/LK/	ATTT	Berkowitz, R.P. et al., "Vaginal Expulsion of Submucosal Fibroids After Uterine Artery Embolization", <i>Journal of Reproductive Medicine</i> , Vol. 44, No. 4, pp. 373-376; April 1999 <a href="http://www.reproductivemedicine.com">http://www.reproductivemedicine.com</a>

Examiner Signature	/LK/	/Leszek Kilman/	Date Considered
			04/02/2008

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(37 CFR §1.98(b))			

**Other Documents (include Author, Title, Date, and Place of Publication)**

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ALK/	AUUU	Bradley, E.A. et al., "Transcatheter Uterine Artery Embolisation to Treat Large Uterine Fibroids", <i>British Journal of Obstetrics and Gynaecology</i> , Vol. 105, pp. 235-240; February 1998
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	AWWW	Carroll, B.A. et al., "Microbubbles as Ultrasonic Contrast Agents", <i>Investigative Radiology</i> , Vol. 14, No. 3, Supplement to May-June 1979
	AXXX	Carroll, B.A. et al., "Gelatin Encapsulated Nitrogen Microbubbles Ultrasonic Contrast Agents", <i>Journal of Clinical and Laboratory Research</i> , Vol. 15, No. 1; February 1980
	AYYY	Carstensen, E.L. et al., "Determination of the Acoustic Properties of Blood and its Components", <i>Journal of Acoustical Society of America</i> , Vol. 25, No. 2; March 1953
	AZZZ	Cirkel, U. et al., "Experience with Leuprorelin Acetate Depot in the Treatment of Fibroids: A German Multicentre Study", <i>Clinical Therapeutics</i> , Vol. 14, Suppl. A, 1992
	AAAAA	Deasy, P. B., "Microencapsulation and Related Drug Processes", New York, NY, Marcel Dekker, Inc., 345 pages, 1984 (Table of Contents only)
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	ACCCC	Feldman, L. et al., "Transcatheter Vessel Occlusion: Angiographic Results Versus Clinical Success", <i>Radiology</i> , Vol. 147, pp. 1-5, April 1983
	ADDDD	Ferrofluids, Physical Properties and Applications Ferrofluidics Corp., Nashua, NH, 5 pages, 1986
	EEEEEE	FeRx Incorporated, FERX Profile <a href="http://www.biotechshares.com/FERX.htm">http://www.biotechshares.com/FERX.htm</a> , 4 pages
	AFFFF	Fritzsch, T. et al., "SH U 508, A Transpulmonary Echocontrast Agent", <i>Investigative Radiology</i> , Vol. 25, Supplement 1, pp. S160-S161, September 1990
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	AJJJJ	Gramiak, R. et al., "Ultrasound Cardiography: Contrast Studies in Anatomy and Function", <i>Radiology</i> , Vol. 92, No. 5, pp. 939-948, April 1969
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	ALLLL	Jones, S.K. et al., "Experimental Examination of a Targeted Hyperthermia System Using Inductively Heated Ferromagnetic Microspheres in Rabbit Kidney", <i>Phys. Med. Biol.</i> , Vol. 46, No. 2, pp. 385-398, February 2001 <a href="http://www.iop.org/Journals/pb">www.iop.org/Journals/pb</a>
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Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document	
/LK/	ANNNN	Kerber, C., "Balloon Catheter with a Calibrated Leak", <i>Radiology</i> , Vol. 120, pp. 547-550, September 1976	
	A0000	Kochan, J.P. et al., "Interventional Neuroradiology: Current Practices and Techniques at Temple University Hospital" <a href="http://www.temple.edu/radiology/stroke.html">http://www.temple.edu/radiology/stroke.html</a> , 5 pages	
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	AQQQQ	Kurbatova, G.T. et al., "Magnetically-guided Anesthetics Based on Highly Dispersed Iron Powders Coated by Polyacrylamide", <i>Biofizika</i> , Vol. 47, No. 2, pp. 331-337, March-April 2002 <a href="http://intapp.medscape.com/px/medlineapp">http://intapp.medscape.com/px/medlineapp</a>	
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	ASSSS	Leventon, William, "Hemocompatible Coatings for Blood-Contacting Devices", <i>Medical Device &amp; Diagnostic Industry: Coating Technologies – New Methods to Ensure Blood Compatibility</i> , Vol. 25, No. 8, pp. 62-67, August 2003	
	ATTTT	Lowery, C.L. et al., "Screening Tests for Intrauterine Growth Retardation: A Comparison of Umbilical Artery Doppler to Real-Time Ultrasound", <i>Echocardiography</i> , Vol. 7, No. 2, pp. 159-164, March 1990	
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	AWWWW	Mather, P.T., Research Group Homepage, Basic Goals and Methods <a href="http://www.ims.uconn.edu/~mather">http://www.ims.uconn.edu/~mather</a> , 4 pages	
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/LK/	ACCCCC	Moroz, P. et al., "Hepatic Clearance of Arterially Infused Ferromagnetic Particles", <i>Int. J. Hyperthermia</i> , Vol. 19, No. 1, pp. 23-24, February 2003 <a href="http://www.tandf.co.uk/journals">http://www.tandf.co.uk/journals</a>	
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	AKKKKK	Schlief, R. et al., "Successful Opacification of the Left Heart Chamber on Echocardiographic Examination after Intravenous Injection of a New Saccharide Based Contrast Agent", <i>Echocardiography</i> , Vol. 7, No. 1, pp. 61-64, January 1990	
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	AMMMMM	Shung, K.K. et al., "Scattering of Ultrasound by Blood", <i>IEEE Transactions on Biomedical Engineering</i> , Vol. BME-23, No. 6, pp. 460-467, November 1976	
	ANNNNN	Sigelmann, R.A. et al., "Analysis and Measurement of Ultrasound Backscattering from an Ensemble of Scatters Excited by Sine-Wave Bursts", <i>Journal of Acoustical Society of America</i> , Vol. 53, No. 4, pp. 1351-1355, April 1973	
	AOOOOO	SIR-Spheres (Yttrium-90 Microspheres), pp. 1-12	
	APPPPP	SIR-Spheres, Radioactive Implant (Yttrium-90 Microspheres), Sirex Medical, Inc., San Diego, CA, November 6, 2000, pp. 1-15	
/LK/	AQQQQQ	Sirtex Medical Limited – Product Description <a href="http://www.sirex.com/?p=72">http://www.sirex.com/?p=72</a> (Retrieved from the Internet on May 27, 2003)	

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Examiner Initial	Desig. ID	Document	
/LK/	ARRRRR	Sirtex Medical Limited – Targeted Radiotherapy with SIR-Spheres <a href="http://www.sirtex.com/?p=57">http://www.sirtex.com/?p=57</a> (Retrieved from the Internet on May 27, 2003)	
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	ATTTTT	"Smart Sutures Tie Themselves", April 26, 2002 <a href="http://www.sciam.com/article.cfm?articleID=00047706-121F-1CD0-B4A8809EC588">http://www.sciam.com/article.cfm?articleID=00047706-121F-1CD0-B4A8809EC588</a>	
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Examiner Signature      /LK/	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-823002	Application No. 10/791,103
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Paul DiCarlo et al.	
		Filing Date March 2, 2004	Group Art Unit 1773

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>			
Examiner Initial	Desig. ID	Document	
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Examiner Signature	/LK/	Date Considered
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